

ANNEXURE- 1

**(To be filed if Documentary Evidence is not furnished by Clients trading in Capital /Derivatives
Market Segment)**

From:

Date: _____

Mr/Mrs/MS: _____

To,
The Manager,
Shriram Financial Services Private Limited
Reg. Off: Rukmini Towers, 3rd & 4th Floor,
3/1,Platform Road, Sheshadripuram
Bangalore – 560020

Dear Sir/Madam,

Sub: Self-Declaration on Income and Net-worth

**Ref: Application No/Client Code: _____ submitted for registration for trading and
investments**

With reference to the above document submitted, I do hereby declare and affirm the following.

1. I have been allotted the PAN: _____, a self-attested copy of which has been submitted to you as one of the mandatory KYC documents.
2. As on date _____, my net-worth is approximately Rs. _____, for which, except this Self-declaration Letter, no other documentary proof is provided to you.
3. I further declare that the transactions I am entering into with you in the above Trading account is commensurate with my Income and Net Worth and I am fully aware of risks associated with these transactions.

Client's Signature: _____

Client's Name: _____



ANNEXURE- 2

From :

Name of client: _____

Address: _____

To :

The Manager,
Shriram Financial Services Private Limited
Reg. Off: Rukmini Towers, 3rd & 4th Floor,
3/1, Platform Road, Sheshadripuram
Bangalore – 560020

Dear Sir / Madam,

SUB:- FEMA DECLARATION

With reference to my / our application for opening a DEMAT account with you, I /We hereby confirm that I am / We are non-resident Indian/s, Foreign National /s Individual /s.

I / We also confirm that I /We have complied with FEMA (erstwhile FERA) Regulations and I / We would continue to comply with FEMA (FERA) regulations.

First Holder Signature

Second Holder Signature

Third Holder Signature.

Place:

Date: