

DP Tariff Sheet

Schedule 'A' Charges for Depository Services applicable to Individuals/HUF/Corporate | DP & Client Id : _____

TRANSACTION TYPE	SCHEME – Regular	SCHEME - 2 (Interest Free Deposit)	BSDA (Basic Services Demat Account)
Refundable Deposit *	NIL	Rs.2000/-	NIL
Annual Maintenance Charges	Rs.200/-	NIL	Nil Upto Stock Value of Rs.4 Lakh, Rs.100/- For [Stock Value] > Rs.4 Lakh up to Rs.10 Lakh
Transaction Charges			
** On Market Sale Debit through CM-SFSL with POA / DDPI	Rs.25/- Per Debit Instruction	Rs.10/- per Debit transfer	Rs.10/- per Debit transfer
** On Market Sale Debit other than POA to SFSL POOL (Incl. Inter Depository)	Rs.25/- per Transaction within SFSL pool accounts 0.03% of transaction value subject minimum Rs.25/- other than SFSL pool accounts		
Off Market Debit (Including Inter- Depository)	Target account is non SFSL - 0.03% of Transaction Value, subject to minimum of Rs.25/-; Target account within SFSL - 0.03% of Transaction Value, subject to minimum of Rs.25/- and Maximum 100/- per Transaction		
CUSA Pledge Charges	Rs.30/- per Transaction		
Failed Transaction	Rs.20/- per Transaction		
Pledge / NDU Creation charges	0.02% of the value of securities Pledged, subject to minimum of Rs.50/- per Transaction		
Margin Pledge	Rs. 10/- per Margin Pledge Transaction within SFSL 25/- for other than SFSL margin account		
Margin Un-pledge	Rs. 15/- per Margin Un-Pledge Transaction within SFSL 25/- for other than SFSL margin account		
Securities Lending & Borrowing	Rs.50/- per Transaction		
** Inclusive of Depository Settlement Fees	NSDL: Rs.4/- CDSL: Rs.5.50/-		
Demat Charges	Rs.2/- per cert. + Courier Charges (Current Rs.200/-)		
Remat Charges	Rs.25/- per cert. (for every hundred securities) + Courier Charges (Current Rs.200/-)		
Demat Rejection Charges	Courier Charges (Current Rs.200/-) per rejection		

* Deposit amount of Rs.2000/- [Rs.5000/- in case of corporate accounts] will be payable upfront and will be refunded only on closure of Demat account. AMC of 200/- will be deducted from the deposit amount if the account is closed within one year of the account opened or within one year of the scheme change. ** In case of Corporate Accounts – AMC will be = SFSL AMC + AMC charged by Depository.

Terms & Conditions:

- The Above schedule of charges is based on NSDL and CDSL charges charged on us and is subject to revision at the discretion of SFSL Any revision in the schedule of charges will be notified by ordinary post/email/electronic mode within 30 days' notice.
- All the percentages in the above rates would be applied on the value of the transactions as computed by NSDL and CDSL
- Any extra statement would be charged Rs.15/- for first 10 pages, thereafter it would be charged a Rs.2/- per page.
- If a DIS needs to be sent to an overseas address, you will be charged the actual courier/speed post costs, and this must be paid upfront.
- For accounts opened during the year, AMC will be charged on pro-rata basis in the first bill. The AMC is charged for first/one year and will be automatically renewed at the end of the financial year at the prevailing rates, unless SFSL received written communication in the prescribed format. AMC once paid will not be refunded on any circumstances.
- CAS Transaction Statement will be sent monthly, only if there is any transaction in the relevant month. If there is no transaction, a statement will be provided once a year. CAS charges will be levied as per actuals.
- Demand Draft in favor of "Shriram Financial Services Pvt. Ltd" payable at "Bangalore" only. No outstation cheques will be accepted other than our branches located.
- Cheque Bounce charges will be applied based on charges as determined by the bankers with minimum of Rs.50/- per instance.
- All market instructions for transfer must be received latest by 4.00 p.m. on the previous working day prior to payin day as per SEBI Guidelines. All off market instructions for transfer must be received at least 24 hours before the execution date. Late instruction would be accepted at the account holder's sole risk/responsibility.
- Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- Depository Service charges bills should be paid on or before the due date. Interest @ 13% p.a. will be charged on the outstanding amount for non-payment. Notwithstanding this, DP reserves rights to "Freeze depository account for debit transactions" in case client fails to pay charges.
- This rate card supersedes all our earlier rate structures.
- Insurance charges on holding if any, charged by NSDL, will be recovered from the clients at the discretion of the DP, in proportion to their holdings.
- *Stamp duty payable as per local charges. For additional services like Internet agreement, Power of Attorney, Fax Indemnity etc., stamp duty payable at actual.
- All the charges mentioned here in the "DP Tariff Sheet" are basic service charges and GST as applicable will be levied.
- DP account is reviewed for eligibility to be BSDA at the time of account opening and AMC billing period, and BSDA tariff is applied to eligible accounts. DP account that become in-eligible to be BSDA at time of AMC billing review will be in SCHEME-Regular by default, such client can give specific consent/instruction from their registered email id to optout of BSDA anytime to other scheme of their choice from the above schedule and also complete prescribed documentation as applicable.
- I/We have accepted the Schedule 'A' as stated herein above. We have chosen to open our Trading account with Shriram Financial Services Pvt. Ltd. for debiting the depository service charges. I/We hereby authorise Shriram Financial Services Private Limited for debiting amount due to My/Our Trading account.**

(First/Sole Holder)	(Second Holder)	(Third Holder)
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